

Carrier Corporation
Carrier World Headquarters
One Carrier Place
P.O. Box 4015
Farmington, CT 06034 4015
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A United Technologies Company

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TO: US PATENT & TRADEMARK OFFICE

FROM:

KRISTIN L. APUZZO

COMPANY:

CARRIER CORPORATION

DATE:

AUGUST 26, 2004

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703-872-9306

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RE: OUR FILE NO. 9997A

SENDER'S E-MAIL:

kristin.apuzzo@carrier.utc.com

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NOTES/COMMENTS:

RE: Application No. 10/038,439

Applicants: BUSH et al.

Entitled: Method to Rough Size Coated Components for Easy Assembly

Our Docket No. 9997A

Dear Sir/Madam:

Per my telephone conversation with Examiner Bareford, attached please find the Amendment under 37 CFR 1.111 which was originally submitted to the PTO on March 1, 2004. Apparently, this document was not received and/or scanned at the PTO, so I am also including the facsimile confirmation reflecting this send was successful.

Should you require additional information, please do not hesitate to contact us. Thank you,

Kristin L. Apuzzo

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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Application No. : 10/038,439
Applicants : BUSH et al.
Filing Date : JANUARY 7, 2002
Title : METHOD TO ROUGH SIZE COATED
COMPONENTS FOR EASY ASSEMBLY
Art Unit : 1762
Examiner : BAREFORD, K.A.
Docket No. : 9997A
Mail Stop Non-Fee Amendment
Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF FACSIMILE TRANSMISSION

In accordance with 37 C.F.R. § 1.8, the undersigned hereby certifies that the following correspondence:

Amendment Under 37 CFR 1.111

is being facsimile transmitted to the USPTO at (703) 872-9310 on this date of March 1, 2004.

Respectfully submitted,

Christine M. Szwarc
Christine M. Szwarc
Reg. No. 43,177

Carrier Corporation
Carrier Parkway
P.O. Box 4800
Syracuse, NY 13221

Number of Pages Being Transmitted (including this page): 7

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PATENT

IN THE UNITED STATES
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Mail Stop Non Fee Amendment
Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

AMENDMENT UNDER 37 C.F.R. § 1.111
IN CONFORMANCE WITH 37 C.F.R. § 1.121

Sir:

Applicants hereby respond to the Communication mailed February 2, 2004 indicating non-compliance under 37 C.F.R. § 1.121 of the amendment dated December 17, 2003 in the above-referenced application. Applicants hereby respond in a timely manner before the due date of March 2, 2004. Applicants hereby conform the amendment to the requirements of 37 C.F.R. § 1.121. Claims 1-16 remain pending in the present application. In view of the remarks herein, Applicants respectfully request favorable reconsideration and allowance of the subject application.

Amendments to the Claims are reflected in the listing of claims that begins on page 2 of this paper.

Arguments begin on page 5 of this paper.

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